

PTO/SB/21 (08-03)

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| <b>TRANSMITTAL FORM</b><br><br>(to be used for all correspondence after initial filing) | Application Number   | 10/600,802             |              |
|                                                                                         | Filing Date          | June 19, 2003          |              |
|                                                                                         | First Named Inventor | Jennie P. MATHER       |              |
|                                                                                         | Art Unit             | 1641                   |              |
|                                                                                         | Examiner Name        | Not Yet Assigned       |              |
| Total Number of Pages in This Submission                                                | 12 + 62 refs.        | Attorney Docket Number | 415072002500 |

**ENCLOSURES (Check all that apply)**

|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  |                                                                                                                                                                                                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    |
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| <b>Remarks</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   |                                                                                                                                                                                                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    |

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

|                         |                                              |       |
|-------------------------|----------------------------------------------|-------|
| Firm or Individual name | MORRISON & FOERSTER LLP<br>Jie Zhou - 52,395 | 25226 |
| Signature               |                                              |       |
| Date                    | October 1, 2003                              |       |

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PATENT  
Docket No. 415072002500

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*Martina Placid*  
Martina Placid

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In the application of:

Jennie P. MATHER et al.

Serial No.: 10/600,802

Filing Date: June 19, 2003

For: NOVEL RAAG10 CELL SURFACE  
TARGET AND A FAMILY OF  
ANTIBODIES RECOGNIZING THAT  
TARGET

Examiner: Not Yet Assigned

Group Art Unit: 1641

**INFORMATION DISCLOSURE  
STATEMENT UNDER 37 C.F.R. § 1.97 & 1.98**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

Dear Sir:

Pursuant to 37 C.F.R. § 1.97 and § 1.98, Applicants submit for consideration in the above-identified application the documents listed on the attached Form PTO-1449. Copies of the documents are also submitted herewith. The Examiner is requested to make these documents of record.

This Information Disclosure Statement is submitted:

- ☐ With the application; accordingly, no fee or separate requirements are required.
- ☐ Before the mailing of a first Office Action after the filing of a Request for Continued Examination under § 1.114.
- ☒ Within three months of the application filing date or before mailing of a first Office Action on the merits; accordingly, no fee or separate requirements are required.
- ☐ After receipt of a first Office Action on the merits but before mailing of a final Office Action or Notice of Allowance.
  - ☐ A fee is required. A check in the amount of \_\_ is enclosed.
  - ☐ A fee is required. Accordingly, a Fee Transmittal form (PTO/SB/17) is attached to this submission in duplicate.
  - ☐ A Certification under 37 C.F.R. § 1.97(e) is provided below; accordingly, no fee is believed to be due.
- ☐ After mailing of a final Office Action or Notice of Allowance, but before payment of the issue fee.
  - ☐ A Certification under 37 C.F.R. § 1.97(e) is provided below and a check in the amount of \_\_ is enclosed.
  - ☐ A Certification under 37 C.F.R. § 1.97(e) is provided below and a Fee Transmittal form (PTO/SB/17 is attached to this submission in duplicate.)

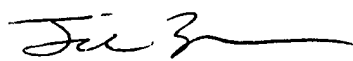
Applicants would appreciate the Examiner initialing and returning the Form PTO-1449, indicating that the information has been considered and made of record herein.

The information contained in this Information Disclosure Statement under 37 C.F.R. § 1.97 and § 1.98 is not to be construed as a representation that: (i) a complete search has been made; (ii) additional information material to the examination of this application does not exist; (iii) the information, protocols, results and the like reported by third parties are accurate or enabling; or (iv) the above information constitutes prior art to the subject invention.

In the unlikely event that the transmittal form is separated from this document and the Patent Office determines that an extension and/or other relief is required, Applicants petition for any required relief including extensions of time and authorize the Commissioner to charge the cost of such petitions and/or other fees due in connection with the filing of this document to **Deposit Account No. 03-1952** referencing 415072002500. However, the Commissioner is not authorized to charge the cost of the issue fee to the Deposit Account.

Dated: October 1, 2003

Respectfully submitted,

By:   
Jie Zhou  
Registration No. 52,395

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Form PTO-1449

INFORMATION DISCLOSURE CITATION  
IN AN APPLICATION

(Use several sheets if necessary)

Docket Number 415072002500

Application Number 10/600,802

Applicant

Jennie P. MATHER et al.

Filing Date June 19, 2003

Group Art Unit 1641

Mailing Date October 1, 2003

## U.S. PATENT DOCUMENTS

| Examiner Initials | Ref. No. | Date       | Document No. | Name              | Class | Subclass | Filing Date If Appropriate |
|-------------------|----------|------------|--------------|-------------------|-------|----------|----------------------------|
|                   | 1.       | 06/30/1987 | 4,676,980    | Segal et al.      |       |          |                            |
|                   | 2.       | 03/28/1989 | 4,816,567    | Cabilly et al.    |       |          |                            |
|                   | 3.       | 09/03/1996 | 5,552,391    | Coutts et al.     |       |          |                            |
|                   | 4.       | 10/15/1996 | 5,565,332    | Hoogenboom et al. |       |          |                            |
|                   | 5.       | 12/03/1996 | 5,580,717    | Dower et al.      |       |          |                            |
|                   | 6.       | 08/12/1997 | 5,656,444    | Webb et al.       |       |          |                            |
|                   | 7.       | 03/31/1998 | 5,733,743    | Johnson et al.    |       |          |                            |
|                   | 8.       | 09/15/1998 | 5,807,715    | Morrison et al.   |       |          |                            |
|                   | 9.       | 02/02/1999 | 5,866,692    | Shitara et al.    |       |          |                            |
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|                   | 11.      | 04/25/2000 | 6,054,297    | Carter et al.     |       |          |                            |
|                   | 12.      | 04/25/2000 | 6,054,561    | Ring              |       |          |                            |
|                   | 13.      | 01/30/2001 | 6,180,377    | Morgan et al.     |       |          |                            |
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|                   | 17.      | 08/20/2002 | 6,436,704    | Roberts et al.    |       |          |                            |
|                   | 18.      | 08/27/2002 | 6,441,163    | Chari et al.      |       |          |                            |

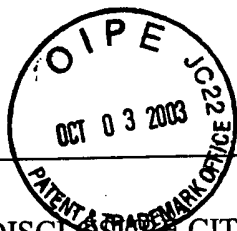
## FOREIGN PATENT DOCUMENTS

| Examiner Initials | Ref. No. | Date       | Document No. | Country | Class | Subclass | Translation YES NO |
|-------------------|----------|------------|--------------|---------|-------|----------|--------------------|
|                   | 19.      | 12/23/1992 | EP 0 519 596 | Europe  |       |          |                    |
|                   | 20.      | 03/15/2001 | WO 01/018021 | WIPO    |       |          |                    |
|                   | 21.      | 10/18/2001 | WO 01/77303  | WIPO    |       |          |                    |
|                   | 22.      | 01/31/2002 | WO 02/08279  | WIPO    |       |          |                    |

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Applicant

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Group Art Unit 1641

Mailing Date October 1, 2003

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| 23. | 02/07/2002 | WO 02/10187  | WIPO |
| 24. | 08/21/2003 | WO 03/068938 | WIPO |

## OTHER DOCUMENTS

(including author, title, Date, Pertinent Pages, Etc.)

| Examiner Initials | Ref. No. | Title                                                                                                                                                                                                                                                       |
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|                   | 25.      | Arrufo, A. and Seed, B. (1987). "Molecular Cloning of a CD28 cDNA by a High Efficiency COS Cell Expression System," <i>Proc. Natl. Acad. Sci. U.S.A.</i> 84:8573-8577.                                                                                      |
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| <b>Form PTO-1449</b><br><b>INFORMATION DISCLOSURE CITATION</b><br><b>IN AN APPLICATION</b><br><i>(Use several sheets if necessary)</i> | Docket Number 415072002500           | Application Number 10/600,802 |
|                                                                                                                                        | Applicant<br>Jennie P. MATHER et al. |                               |
|                                                                                                                                        | Filing Date June 19, 2003            | Group Art Unit 1641           |
|                                                                                                                                        | Mailing Date October 1, 2003         |                               |

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Docket Number 415072002500

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Applicant

Jennie P. MATHER et al.

Filing Date June 19, 2003

Group Art Unit 1641

Mailing Date October 1, 2003

- |     |                                                                                                                                                                                                                                                                                                        |
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